

Course Title: 2018 EDUCATION PROGRAM FOR REPRESENTATIVES OF PARTICIPATING ORGANISATIONS AND TRADING PARTICIPANTS - CHALLENGES TO TRADING IN DIGITAL ECONOMY

Organiser : Bursa Malaysia Berhad

Programme Objectives

1. Create awareness on regulatory issues, promote higher standards of business conduct of Representatives in Digital Economy.
2. Improve the standard of compliance to the requirement of the rules and regulation particularly on trading activities as well as instil a culture of self-regulation amongst the Participating Organisations / Trading Participants and their registered persons.
3. Understanding the existing regulations, rules, directives and guidance issued by the Bursa, Securities Commission (SC) and Bank Negara Malaysia (BNM).

Learning outcomes

Upon completion of the conference, participants would be able to:

1. To explain what is Digital Economy and how it affects the activities of representatives of Participating Organisations / Trading Participants
2. To state the purpose, objectives, key characteristics of the relevant rules, directives and guidelines of Bursa, SC and BNM on conduct of representatives of Participating Organisations / Trading Participants

SIDC - Continuing Professional Education (CPE)

This seminar is SIDC Accredited and carries 10 CPE points

Target Audience

Dealer's Representatives, Futures Broker's and Registered persons of Participating Organisations / Trading Participants

Attendance Fee

Free Of Charge

Programme Outlines

8.30am to 9.00am	Registration
9.00am to 10.30am	Digital Economy <ul style="list-style-type: none"> - What is Digital Economy? - Fintech, Big Data, Cloud Computing, Artificial Intelligent (AI) and Internet of Things (IoT) - Sharing Economy / Crowd-Based Capitalism - Cryptocurrency - Financial Frauds in Digital Economy - Regulatory Guidelines
10.30am to 10.45am	Coffee Break
10.45am to 12.15pm	Rules and Regulations <ul style="list-style-type: none"> - Regulatory Principles - Updates on Rules, Directives and Guidelines <ul style="list-style-type: none"> - Bursa Rules - SC Guidelines - BNM Guidelines
12.15pm to 1.00pm	KYC / Due Diligence <ul style="list-style-type: none"> - Professional Ethic, Best Practices and Management of Conflict of Interest - Customer Risk Assessment - Client Services and Retention
1.00pm to 2.00pm	Lunch
2.00pm to 3.30pm	Market Offences and Abuses <ul style="list-style-type: none"> - Type of Offences and Abuses - Recent Case Studies - Defence and Exceptions under CMSA - Enforcement Actions under Bursa Rules and CMSA
3.30pm to 3.45pm	Coffee Break
3.45pm to 5.00pm	Digital Investment Management Services and Issues <ul style="list-style-type: none"> - SC's Regulatory Framework - Algorithmic Trading (ALGO) - High Frequency Trading (HFT) - Dark Pool

Registration Form

Please tick [✓]

Kuala Lumpur / JW Marriot Hotel	10.3.2018		Kota Kinabalu / Le Meridien Hotel	21.7.2018	
Penang / The Wembley Hotel	7.4.2018		Johor Bahru / Renaissance Hotel	28.7.2018	
Ipoh / The Haven Resort Hotel	21.4.2018		Kuala Lumpur / JW Marriot Hotel	4.8.2018	
Kuching/ Hilton Hotel	12.5.2018				

*** The above is subject to change**

Company Name: _____

Contact Person: _____

Designation: _____

Emails: _____

Tel (Mobile/Office) : _____

Item	Name Of Participant	Designation	NRIC. No.	Licensed No.	Contact no.	Email Address
1						
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***Please fill in separate form if more than 10 participants**

Kindly email or fax or WhatsApp or WeChat the registration form to Ms Joanne:

H/P or WhatsApp: 017-4187 988

Email: cpeseminar@chkconsultancy.com.my / joanne@chkconsultancy.com.my

WeChat: chkcpeseminar

Fax: 03-76104234